Practiti ner's Docket N	02262 PATENT
in the united states	PATENT AND TRADEMARK OFFICE
Patent application	
ofMICHAEL PERNA ET AL.	
	Inventor(s)
forFLOTATION DEVICE FOR BRI	
· .	itle of invention
the specification of which is being tra	nsmitted herewith
	OR
In re application of:	
A 11 11 14 15 1	Group No.:
	Examiner:
For:	
	DISCLOSURE STATEMENT
(When using Express Mail, th	DER 37 C.F.R. §§ 1.8(a) and 1.10* se Express Mail label number is mandatory; sail certification is optional.)
I hereby certify that, on the date shown below,	this correspondence is being:
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37 C.F.R. § 1.8(a)	37 C.F.R. § 1.10*
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☐ facsimile transmitted to the Patent and Trad	emark Office, (703)
	Charles I. Meroni, for
Date: Dec. 1 , 2003.	Signature
	Charles F. Meroni, Jr.
	(type or print name of person certifying)

(Information Disclosure Statement [6-1]—page 1 of _____)

^{*} Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

- NOTE: "An information disclosure statement shall be considered by the Office if filed by the applicant within any one of the following time periods:
 - (1) Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
 - (2) Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application;
 - (3) Before the mailing date of a first Office action on the merits; or
 - (4) Before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114."

37 C.F.R. § 1.97(b).

NOTE: "Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section." 37 C.F.R. § 1.56(a).

"Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) each inventor named in the application;

Notice of April 20, 1992 (1138 O.G. 37-41, 37).

- (2) each attorney or agent who prepares or prosecutes the application; and
- (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. § 1.56(c).

NOTE: The "duty as described in § 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1135 O.G. 13 -25 at 17.

WARNING: "No information disclosure statement may be filed in a provisional application." 37 C.F.R. § 1.51(d).

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

(check sections forming a part of this statement: discard unused sections and number pages consecutively)

		pages somesauvery,
1.		Preliminary Statements
2.	X X	FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)
3.		Statement as to Information Not Found in Patents or Publications
4.		Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
5.		Cumulative Patents or Publications
6.	ΧX	Copies of Listed Information Items Accompanying This Statement
7.		Concise Explanation of Non-English Language Listed Information Items
		7A. EPO Search Report
		7B. English Language Version of EPO Search Report
8.		Translation(s) of Non-English Language Documents
, 9.		Concise Explanation of English Language Listed Information Items (Optional)
10.	хæ	Identification of Person(s) Making This Information Disclosure Statement
		(complete the following, if appropriate)
Section	ons	, respectively, have been continued on ADDED PAGE(S).
NOT	E: "C	Once the minimum requirements are met, the examiner has an obligation to consider the information."

(Information Disclosure Statement [6-1]—page 2 of _____)

Section 10. Identification of Person(s) Making This Informati n **Disclosure Statement**

The person making this statement is

			(check each a	applicable item)
(a)	[3]	the inv	rentor(s) who signs be	Michael Pom
				GNATURE OF INVENTOR
				MICHAEL PERNA
			(ty	pe name of inventor who is signing)
(b)		an indiv	vidual associated with of this application (37	C.F.R. & 1.56(c))
			SI	GNATURE OF INVENTOR
			·	NESTOR HERNANDEZ
			(ty)	pe name of inventor who is signing)
(c)	□X	the practine the info	ctitioner who signs be ormation:	low on the basis of
			(check each a	pplicable item)
			supplied by the inve	entor(s).
			supplied by an individual of this application. (3	dual associated with the filing and prosecution 37 C.F.R. § 1.56(c))
		X	in the practitioner's	
				Thailis F. Meroni /- SIGNATURE OF PRACTITIONER
Reg. No.:	20	.109	•	SIGNATURE OF PRACTITIONER
				Charles F. Meroni, Jr.
Tel. No.: (84 7	304	-1500	(type or print name of practitioner)
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				Barrington II 60011

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STATEMENT BY APPLICANT				First Named Inventor	Michael Perna	
				Group Art Unit	Unknown	
(use as many sheets as necessary)			s necessary)	Examiner Name	Unknown	
Sheet	1	of	1	Attorney Docket Number	02262	

	U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.1	Number	ment Code ² nown)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		4.571.194		Kiss et al.	2/18/86			
		5,992,617		Couch et al.	11/30/99			
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.